THE MYTH OF THE COMPUTER

John R. Searle

The following essay was first published in The New York Review of Books (29 April 1982) as a review of a book edited by Douglas R. Hofstadter and Daniel C. Dennett (The Mind's I: Fantasies and Reflections on Self and Soul). This essay has since been widely reprinted as concise introduction to Searle's arguments against the program of artificial intelligence. Dennett responded to Searle's essay a few months later, and this response, along with Searle's reply, are reprinted immediately after this essay.

Searle's "Chinese room argument" was discussed earlier in The Behavioral and Brain Sciences, Vol. 3 (Cambridge University Press, 1980), along with twenty-seven responses and Searle's reply to the responses.

Our ordinary ways of talking about ourselves and other people, of justifying our behavior and explaining that of others, express a certain conception of human life that is so close to us, so much a part of common sense that we can hardly see it. It is a conception according to which each person has (or perhaps *is*) a mind; the contents of the mind — beliefs, fears, hopes, motives, desires, etc. — cause and therefore explain our actions; and the continuity of our minds is the source of our individuality and identity as persons.

In the past couple of centuries we have also become convinced that this common-sense psychology is grounded in the brain, that these mental states and events are somehow, we are not quite sure how, going on in the neurophysiological processes of the brain. So this leaves us with two levels at which we can describe and explain human beings: a level of common-sense psychology, which seems to work well enough in practice but which is not scientific; and a level of neurophysiology, which is certainly scientific but which even the most advanced specialists know very little about.

But couldn't there be a third possibility, a science of human beings that was not introspective common-sense psychology but was not neurophysiology either? This has been the great dream of the human sciences in the twentieth century, but so far all of the efforts have been, in varying degrees, failures. The most spectacular failure was behaviorism, but in my intellectual lifetime I have lived through exaggerated hopes placed on and disappointed by games theory, cybernetics, information theory, generative grammar, structuralism, and Freudian psychology, among

others. Indeed it has become something of a scandal of twentieth-century intellectual life that we lack a science of the human mind and human behavior, that the methods of the natural sciences have produced such meager results when applied to human beings.

The latest candidate or family of candidates to fill the gap is called cognitive science, a collection of related investigations into the human mind involving psychology, philosophy, linguistics, anthropology, and artificial intelligence. Cognitive science is really the name of a family of research projects and not a theory, but many of its practitioners think that the heart of cognitive science is a theory of the mind based on artificial intelligence (AI). According to this theory minds just are computer programs of certain kinds. The main ideological aim of Hofstadter and Dennett's book is to advance this theory.

[...]

[Dennett and Hofstadter's] theory, which is fairly widely held in cognitive science, can be summarized in three propositions.

- 1. *Mind as Program*. What we call minds are simply very complex digital computer programs. Mental states are simply computer states and mental processes are computational processes. Any system whatever that had the right program, with the right input and output, would have to have mental states and processes in the same literal sense that you and I do, because that is all there is to mental states and processes, that is all that you and I have. The programs in question are "self-updating" or "self-designing" "systems of representations."
- 2. The Irrelevance of the Neurophysiology of the Brain. In the study of the mind actual biological facts about actual human and animal brains are irrelevant because the mind is an "abstract sort of thing" and human brains just happen to be among the indefinitely large number of kinds of computers that can have minds. Our minds happen to be embodied in our brains, but there is no essential connection between the mind and the brain. Any other computer with the right program would also have a mind.

Theses 1 and 2 are summarized in the introduction where the authors speak of "the emerging view of the mind as software or program — as an abstract sort of thing whose

identity is independent of any particular physical embodiment."

3. The Turing Test as the Criterion of the Mental. The conclusive proof of the presence of mental states and capacities is the ability of a system to pass the Turing test, the test devised by Alan Turing and described in his article in this book. If a system can convince a competent expert that it has mental states then it really has those mental states. If, for example, a machine could "converse" with a native Chinese speaker in such a way as to convince the speaker that it understood Chinese then it would literally understand Chinese.

The three theses are neatly lumped together when one of the editors writes, "Minds exist in brains and may come to exist in programmed machines. If and when such machines come about, their causal powers will derive not from the substances they are made of, but from their design and the programs that run in them. And the way we will know they have those causal powers is by talking to them and listening carefully to what they have to say."

We might call this collection of theses "strong artificial intelligence" (strong AI).¹ These theses are certainly not obviously true and they are seldom explicitly stated and defended.

Let us inquire first into how plausible it is to suppose that specific biochemical powers of the brain are really irrelevant to the mind. It is an amazing fact, by the way, that in twenty-seven pieces about the mind the editors have not seen fit to include any whose primary aim is to tell us how the brain actually works, and this omission obviously derives from their conviction that since "mind is an abstract sort of thing" the specific neurophysiology of the brain is incidental. This idea derives part of its appeal from the editors' keeping their discussion at a very abstract general level about "consciousness" and "mind" and "soul," but if you consider specific mental states and processes — being thirsty, wanting to go to the bathroom, worrying about your income tax, trying to solve math puzzles, feeling depressed, recalling the French word for "butterfly" — then it seems at least a little odd to think that the brain is so irrelevant.

Take thirst, where we actually know a little bit about how it works. Kidney secretions of renin synthesize a substance called angiotensin. This substance goes into the hypothalamus and triggers a series of neuron firings. As far as we know these neuron firings are a very large part of the cause of thirst. Now obviously there is more to be said, for example about the relations of the hypothalamic responses to the rest of the brain, about other things going on in the hypothalamus, and about the possible distinctions between the *feeling* of thirst and the *urge* to drink. Let us suppose we have filled out the story with the rest of the biochemical causal account of thirst.

Now the theses of the mind as program and the irrelevance of the brain would tell us that what matters about this story is not the specific biochemical properties of the angiotensin or the hypothalamus but only the formal computer programs that the whole sequence instantiates. Well, let's try that out as a hypothesis and see how it works. A computer can simulate the formal properties of the sequence of chemical and electrical phenomena in the production of thirst just as much as it can simulate the formal properties of anything else — we can simulate thirst just as we can simulate hurricanes, rainstorms, five-alarms fires, internal combustion engines, photosynthesis, lactation, or the flow of currency in a depressed economy. But no one in his right mind thinks that a computer simulation of a five-alarm fire will burn down the neighborhood, or that a computer simulation of an internal combustion engine will power a car or that computer simulations of lactation and photosynthesis will produce milk and sugar. To my amazement, however, I have found that a large number of people suppose that computer simulations of mental phenomena, whether at the level of brain processes or not, literally produce mental phenomena.

Again, let's try it out. Let's program our favorite PDP-10 computer with the formal program that simulates thirst. We can even program it to print out at the end "Boy, am I thirsty!" or "Won't someone please give me a drink?" etc. Now would anyone suppose that we thereby have even the slightest reason to suppose that the computer is literally thirsty? Or that any simulation of any other mental phenomena, such as understanding stories, feeling depressed, or worrying about itemized deductions, must therefore produce the real thing? The answer, alas, is that a large number of people are committed to an ideology that requires them to believe just that. So let us carry the story a step further.

The PDP-10 is powered by electricity and perhaps its electrical properties can reproduce some of the actual causal powers of the electrochemical features of the brain in producing mental states. We certainly couldn't rule out that eventuality a priori. But remember: the thesis of strong AI is that the mind is "independent of *any* particular embodi-

[&]quot;Strong" to distinguish the position from "weak" or "cautious" AI, which holds that the computer is simply a very useful tool in the study of the mind, not that the appropriately programmed computer literally has a mind.

ment" because the mind is just a program and the program can be run on a computer made of anything whatever provided it is stable enough and complex enough to carry the program. The actual physical computer could be an ant colony (one of their examples), a collection of beer cans, streams of toilet paper with small stones placed on the squares, men sitting on high stools with green eye shades — anything you like.

So let us imagine our thirst-simulating program running on a computer made entirely of old beer cans, millions (or billions) of old beer cans that are rigged up to levers and powered by windmills. We can imagine that the program simulates the neuron firings at the synapses by having beer cans bang into each other, thus achieving a strict correspondence between neuron firings and beer-can bangings. And at the end of the sequence a beer can pops up on which is written "I am thirsty." Now, to repeat the question, does anyone suppose that this Rube Goldberg apparatus is literally thirsty in the sense in which you and I are?

Notice that the thesis of Hofstadter and Dennett is not that *for all we know* the collection of beer cans might be thirsty but rather that if it has the right program with the right input and output it *must be* thirsty (or understand Proust or worry about its income tax or have any other mental state) because that is all the mind is, a certain kind of computer program, and any computer made of anything at all running the right program would have to have the appropriate mental states.

I believe that everything we have learned about human and animal biology suggests that what we call "mental" phenomena are as much a part of our biological natural history as any other biological phenomena, as much a part of biology as digestion, lactation, or the secretion of bile. Much of the implausibility of the strong AI thesis derives from its resolute opposition to biology; the mind is not a concrete biological phenomenon but "an abstract sort of thing."

Still, in calling attention to the implausibility of supposing that the specific casual powers of brains are irrelevant to minds I have not yet fully exposed the preposterousness of the strong AI position, held by Hofstadter and Dennett, so let us press on and examine a bit more closely the thesis of mind as program.

Digital computer programs by definition consist of sets of purely formal operations on formally specified symbols. The ideal computer does such things as print a 0 on the tape, move one square to the left, erase a 1, move back to the right, etc. It is common to describe this as "symbol"

manipulation" or, to use the term favored by Hofstadter and Dennett, the whole system is a "self-updating representational system"; but these terms are at least a bit misleading since as far as the computer is concerned the symbols don't *symbolize* anything or *represent* anything. They are just formal counters.

The computer attaches no meaning, interpretation, or content to the formal symbols; and qua computer it couldn't, because if we tried to give the computer an interpretation of its symbols we could only give it more uninterpreted symbols. The interpretation of the symbols is entirely up to the programmers and users of the computers. For example, on my pocket calculator if I print "3 x 3 = ," the calculator will print "9" but it has no idea that "3" means 3 or that "9" means 9 or that anything means anything. We might put this point by saying that the computer has a syntax but no semantics. The computer manipulates formal symbols but attaches no meaning to them, and this simple observation will enable us to refute the thesis of mind as program.

Suppose that we write a computer program to simulate the understanding of Chinese so that, for example, if the computer is asked questions in Chinese the program enables it to give answers in Chinese; if asked to summarize stories in Chinese it can give such summaries; if asked questions about the stories it has been given it will answer such questions.

Now suppose that I, who understand no Chinese at all and can't even distinguish Chinese symbols from some other kinds of symbols, am locked in a room with a number of cardboard boxes full of Chinese symbols. Suppose that I am given a book of rules in English that instruct me how to match these Chinese symbols with each other. The rules say such things as that the "squiggle-squiggle" sign is to be followed by the "squoggle-squoggle" sign. Suppose that people outside the room pass in more Chinese symbols and that following the instructions in the book I pass Chinese symbols back to them. Suppose that unknown to me the people who pass me the symbols call them "questions," and the book of instructions that I work from they call "the program"; the symbols I give back to them they call "answers to the questions" and me they call "the computer." Suppose that after a while the programmers get so good at writing the programs and I get so good at manipulating the symbols that my answers are indistinguishable from those of native Chinese speakers. I can pass the Turing test for understanding Chinese. But all the same I still don't understand a word of Chinese and neither does any other digital computer because all the computer has is what I have: a formal program that

attaches no meaning, interpretation, or content to any of the symbols.

What this simple argument shows is that no formal program by itself is sufficient for understanding, because it would always be possible in principle for an agent to go through the steps in the program and still not have the relevant understanding. And what works for Chinese would also work for other mental phenomena. I could, for example, go through the steps of the thirst-simulating program without feeling thirsty. The argument also, *en passant*, refutes the Turing test because it shows that a system, namely me, could pass the Turing test without having the appropriate mental states.

[...]

The rest of what they have to say is mostly a repetition of points made by other authors and already answered by me. Specifically, they endorse the "systems reply" to the Chinese room argument, according to which the man in the room does not understand Chinese, but the system of which he is a part — including the instruction book, the Chinese symbols, etc. — really does understand Chinese. Adherents of this view believe, to my constant amazement, that though the man fails to understand, the room does understand Chinese. The obvious objection to this is that the system has no way of attaching meaning to the uninterpreted Chinese symbols, any more than the man did in the first place. The system, like the man, has a syntax but no semantics. And you can see this by simply imagining that the man internalizes the whole system. Suppose he has a super memory and a super intelligence so that he memorizes the instruction book and does all the calculations in his head. To get rid of the room, we can even suppose he works outdoors. Now since the man doesn't understand Chinese, and since there's nothing in the system that is not in the man, there is no way the system could understand Chinese. As near as I can tell Hofstadter and Dennett's only reply to this is to observe that no normal human being could perform such a feat of memory. This is of course quite true, but also quite irrelevant to the point, which, to repeat, is that from syntax alone you don't get semantics.

For reasons that seem to me utterly confused they think that my reply to one of the thought experiments actually commits me to accepting the systems reply. Suppose that the neuronal connections of a Chinese-speaking woman are broken, but suppose that a tiny, lightning-fast demon in her head makes all the connections in just the right order. Would she then understand Chinese? Assuming that the powers of her brain are fully restored the answer seems to

me obviously yes. But to say that is in no way to endorse the systems reply, since in this case we are dealing with the specific causal powers of the human brain, whereas the systems reply claims that a system made of any substance at all could have mental states.

The details of how the brain works are immensely complicated and largely unknown, but some of the general principles of the relations between brain functioning and computer programs can be stated quite simply. First, we know that brain processes cause mental phenomena. Mental states are caused by and realized in the structure of the brain. From this it follows that any system that produced mental states would have to have powers equivalent to those of the brain. Such a system might use a different chemistry, but whatever its chemistry it would have to be able to cause what the brain causes. We know from the Chinese room argument that digital computer programs by themselves are never sufficient to produce mental states. Now since brains do produce minds, and since programs by themselves can't produce minds, it follows that the way the brain does it can't be by simply instantiating a computer program. (Everything, by the way, instantiates some program or other, and brains are no exception. So in that trivial sense brains, like everything else, are digital computers.) And it also follows that if you wanted to build a machine to produce mental states, a thinking machine, you couldn't do it solely in virtue of the fact that your machine ran a certain kind of computer program. The thinking machine couldn't work solely in virtue of being a digital computer but would have to duplicate the specific causal powers of the brain. [...]

DANIEL DENNETT'S REPLY TO SEARLE

Daniel Dennett, a professor of philosophy at Tufts University and an important scholar in the field of cognitive science, replied to Searle in the June 24, 1982 edition of The New York Review of Books.

To the Editors:

In *The Mind's I*, Douglas Hofstadter and I reprint (correctly) John Searle's much-discussed article, "Minds, Brains, and Programs," and follow it with a "Reflection" that is meant to refute his position, as he notes in his review [NYR, April 29]. [...]

We claim that [Searle] has frankly misunderstood the systems reply, and that his remark about "bits of paper" betrays this — and has "blinded him to the realities of the situation." Sometimes it even seems as if he deliberately misrepresents the systems reply, as when he says in his review: "Adherents of this view believe, to my constant

amazement, that though the man fails to understand, the *room* understands Chinese." Searle's amazement stops just short of inspiring any doubt in his mind about the fidelity of his interpretation, but perhaps this is to be explained by a certain exegetical carelessness rather than willful caricature.

What is the heart of the systems reply? It is a distinction of levels that is not at all mysterious, or new, though Searle's diminutive "bits of paper" acts to minimize (or obfuscate) the point. "The *conjunction* of a person and bits of paper" doesn't *sound* like a very different system from a person alone, does it? How about "the conjunction of a person and the Library of Congress with its attendant staff"? Does that sound like a supersystem that just *might* have some interesting powers or properties lacked by any of its proper parts or subsystems? [...]

Searle, in a letter to me (which he has kindly permitted me to quote), says:

In any case you and Hofstadter still miss the point. No matter how big the program, the conjunction of man and bits of paper is no different from man alone. All of the bits of paper in the world add nothing to the neurophysiological powers of the man's brain. The whole point of reminding the reader that these are just "bits of paper" is that they are not in any way an addition to the specific neurophysiological powers of the man's brain.

Here Searle manifestly misunderstands the systems reply. No one claims the supersystem gives the subsystem by itself special new powers or properties. Rather, we (and many others) claim that the supersystem itself — the whole supersystem — has these powers. Searle's persistent deaf ear to this point puzzles me, particularly since it is really just a "category mistake" claim of the sort that was all the rage during Searle's graduate student days at Oxford. In his reply to my earlier commentary on his paper (in Behavioral and Brain Sciences) he objects to my rather Oxonian claim that I understand English — my brain doesn't — with the retort: "I find his claim as implausible as insisting, 'I digest pizza; my stomach and my digestive tract don't." How important a single word can be! The verb "digest" is nicely chosen, for note how radically the image shifts if we switch to "eat" or "enjoy." Does Searle find it quite all right to say that his stomach eats pizza? Can his mouth eat pizza? Which proper part of him could be said to enjoy the pizza? Levels do make a difference. Anyone who hunts for a pizza-enjoying subsystem in a human being is on a fool's errand, and anyone who denies that a supersystem understands Chinese on the grounds that none of its subsystems do is making the same error moving in the other direction.

Searle stresses that a computer program, being "purely formal," has no causal powers of its own. True, but of course when a program is physically realized in some hardware, and attached by "transducers and effectors" to relevant portions of the rest of the world, that physically realized program can have lots of causal powers: such a program can control an oil refinery, make out payroll checks or — terrible to say — guide nuclear missiles to their targets. Let's call such causal powers *control powers*. Such powers are not simulated but real; the computer doesn't simulate controlling the refinery; it really does control the refinery. (The distinction between simulating and duplicating is not as unproblematic as Searle supposes, but we will give him the distinction here for the sake of argument.)

Now Searle has admitted (in conversation on several occasions) that in his view a computer program, physically realized on a silicon chip (or for that matter a beer-can contraption suitably sped up and hooked up) could in principle duplicate — not merely simulate — the control powers of the human brain. That is, such a computer program (somehow realized) could control a human body in all its activities. Would such a body have a mind? We on the outside would find its behavior indistinguishable from that of a normal human being, but whether or not it really had a mind would depend, Searle insists, on whether the hardware realization of the control program shared with the missing brain not only all its control powers (granted ex hypothesi) but also some other "causal powers" entirely undetectable by others in behavior, including the behaviors of introspective speech, emotional reaction, and so forth.

What powers could these be? Where would the physical *effects* of these neurophysiological powers show up? Searle answers that they would show up in the individual subject's consciousness of his own intentionality. But would these be physical effects? If so, they must be detectable (in principle) by outsiders. Would they register on the instruments of neuroscientists (if not "behaviorists")? Searle does not say, but since he insists that the effects are introspectible (only?) it is tempting to conclude that the effects are presumed to be non-physical, and that Searle is some sort of dualist. He adamantly denies it; he insists the causal powers he is discussing are physical, so they must have physical, publicly observable effects. Where, if not in the subject's behavior? Just in the brain? What would these effects *do*?

These are mysterious causal powers indeed, despite their scientific-sounding name. We frankly disbelieve in them — which is the extent of our "behaviorism." Surely we all agree that anything that has all the relevant causal powers of

food — it saves one from starving, sustains growth and repair, tastes good, etc. — *is* food. And anything that has all the causal powers of oxygen is oxygen. We think that you could in principle give a body an artificial brain by giving it something that duplicated *all* the brain's *control* powers. And any creature so equipped would "have a mind" in the only sense that makes any sense: it would have a well-functioning (prosthetic) brain. Now perhaps we are wrong; perhaps there are some other causal powers that matter. Searle thinks so; he thinks organic brains "produce intentionality." It sometimes seems as if he thinks intentionality is some marvelous fluid secreted by the brain — but we

shrink from imputing such a silly view to him, and await his further clarification of his position.

Searle paints us as taken in by the "mythology" of computers. We see ourselves as demythologizers, and Searle as the victim of several superannuated myths, but perhaps we have misinterpreted his view.

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